



Medallion Approval Request Form

Customer name (first, middle, last): _____

Representative name (first, middle, last): _____

Security document: _____

Reminder: Realta Equities, Inc. and Realta Investment Advisors require this form for all requests for approval to use its STAMP Medallion on the security or document listed above. You are required to familiarize yourself with the Policies and Procedures for Medallion Use provided to you by Realta, and by executing this document, you certify that you have done so and agree to follow the policies and procedures set forth therein.

1-Customer Signature

The customer has been a Realta customer since (date & year): _____

I have personally known the customer for _____ years.

Identification presented to me at time of signature:

ID Type: _____ (present photocopy with this form) Expiration Date: _____

____ Initial here to confirm signature has been compared favorably to signature in customer file.

Forward the original signed security to the home office with this form and all other documents hereto via overnight delivery and keep the tracking receipt number.

2-Capacity to Sign

____ Initial here customer is over the age of 18.

____ Initial here customer is not visibly intoxicated or incompetent.

3-Appropriate Party to Endorse

Exact name on certificate (include a copy with this form): _____

If one or more of the parties is:

- Deceased: review the original death certificate and provide a copy with this form.
- A fiduciary: review the required documents set forth in the firm's Medallion P&P and submit copies of same with this form.
- An attorney-in-fact (POA): review the original and submit copies with this form.
- A corporation, partnership, LLC or associations, the individual acting on the entity's behalf must act with the authority of the entity through a Resolution, Stock Power, or its general manager(s). Please refer to your P&P manual for more information: provide a copy of all such applicable documents with this form.

____ By initialing hereto, I certify that I have requested and reviewed the appropriate documentation set forth in Realta's Policies and Procedures for Medallion Use in Guaranteeing Customers' Signatures.

By signing below, I represent and warrant that I have read the above in its entirety, and that the information provided herein is true and correct to the best of my knowledge. I further represent and warrant that I have reviewed the originals of the documents I have submitted with this request and that the enclosed copies are true and correct copies of same. I understand and agree to indemnify and hold Realta Equities, Inc. harmless from any and all claims, suits, actions, demands, regulatory inquiry and actions arising from or related to the use of the Medallion to the extent that any of the foregoing is found to be false, incomplete, or misleading.

Signature of Realta Representative: _____ Date: _____