



DST/1031 Exchange (as of November 30, 2023)

<u>Offering Name</u>	<u>Sponsor Name</u>	<u>Investment Sector</u>	<u>Registration Type</u>	<u>Registration Form</u>	<u>Concentration Guideline</u>	<u>Accredited Attestation Required</u>	<u>Open Date</u>	<u>Selling Agreement</u>	<u>Expiration Date</u>	<u>LTV</u>	<u>Proj. RTN</u>	<u>Maximum Offering</u>	<u>Minimum Initial Non Qualified</u>	<u>Minimum Initial Qualified</u>
BDP Southlake DST	BDP Holdings, LLC	Real Estate	Private	Form D – 506(c) (General Solicitation is Allowed)	Tax Advantage	✓	8/15/2022	8/22/2022	10/20/2023	43.39%	5.13%	\$45,192,820	\$25,000	\$25,000
BR Diversified Industrial Portfolio I, DST	BIGR Exchange TRS, LLC	Real Estate	Private	Form D – 506(b) (General Solicitation is not Allowed)	Tax Advantage		8/10/2022	8/30/2022	8/31/2024	43.34%	3.75%	\$46,527,793	\$100,000	Not Permitted
CF Legacy at Fox Valley Multifamily DST	Cantor Fitzgerald Investors, LLC	Real Estate	Private	Form D – 506(b) (General Solicitation is not Allowed)	Tax Advantage		5/18/2022	5/25/2022	3/31/2024	29.80%	3.35%	\$57,225,000	\$250,000	Not Permitted
CS1031 Holiday MHC, DST	Capital Square Realty Advisors, LLC	Real Estate	Private	Form D – 506(c) (General Solicitation is Allowed)	Tax Advantage	✓	10/10/2022	10/21/2022	3/31/2024	20.11%	4.00%	\$38,300,000	\$50,000	\$50,000
CS1031 Parkland Apartments, DST	Capital Square Realty Advisors, LLC	Real Estate	Private	Form D – 506(c) (General Solicitation is Allowed)	Tax Advantage	✓	12/20/2022	12/23/2022	11/30/2023	40.99%	3.77%	\$105,700,000	\$50,000	\$50,000
CS1031 Zero Coupon LV Training Facility, DST	Capital Square Realty Advisors, LLC	Real Estate	Private	Form D – 506(c) (General Solicitation is Allowed)	Tax Advantage	✓	12/14/2022	12/20/2022	11/30/2023	78.70%	0.00%	\$57,592,000	\$50,000	\$50,000
CX Courts of Avalon, DST	Carter Exchange Fund Management Company, LLC	Real Estate	Private	Form D – 506(c) (General Solicitation is Allowed)	Tax Advantage	✓	9/14/2022	9/27/2022	9/13/2024	45.90%	4.25%	\$48,815,934	\$25,000	Not Permitted
ExchangeRight Net-Leased Portfolio 63 DST	ExchangeRight Real Estate, LLC	Real Estate	Private	Form D – 506(b) (General Solicitation is not Allowed)	Tax Advantage		5/24/2023	5/30/2023	5/24/2024	40.29%	5.00%	\$75,120,000	\$100,000	\$100,000
Franklin WI Multifamily DST	Trilogy Real Estate Group, LLC	Real Estate	Private	Form D – 506(b) (General Solicitation is not Allowed)	Tax Advantage		6/10/2022	7/1/2022	12/31/2024	41.85%	3.50%	\$58,459,357	\$25,000	\$25,000
Go Store It Charleston III DST	Madison 1031 Exchange, LLC	Real Estate	Private	Form D – 506(c) (General Solicitation is Allowed)	Tax Advantage	✓	12/14/2022	12/28/2022	12/14/2023	0.00%	4.42%	\$13,000,000	\$100,000	\$100,000



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Go Store It Hampton DST	Madison 1031 Exchange, LLC	Self Storage	Private	Form D – 506(c) (General Solicitation is Allowed)	Tax Advantage	✓	3/14/2023	5/10/2023	3/14/2024	0.00%	4.00%	\$15,000,000	\$100,000	\$100,000
Hayworth Tanglewood, DST	Versity Invest, LLC	Real Estate	Private	Form D – 506(c) (General Solicitation is Allowed)	Tax Advantage	✓	7/26/2022	8/2/2022	1/26/2024	38.47%	4.05%	\$77,886,957	\$50,000	\$50,000
Inland Diversified Residential Portfolio III DST	Inland Private Capital Corporation	Real Estate	Private	Form D – 506(b) (General Solicitation is not Allowed)	Tax Advantage		6/28/2022	7/1/2022	12/31/2023	35.79%	3.10%	\$209,327,056	\$100,000	Not Permitted
Inland IPC Industrial Portfolio DST	Inland Private Capital Corporation	Real Estate	Private	Form D – 506(b) (General Solicitation is not Allowed)	Tax Advantage		5/26/2022	6/2/2022	12/31/2023	0.00%	3.40%	\$275,859,119	\$25,000	Not Permitted
Inland IPC Student Housing Portfolio DST	Inland Private Capital Corporation	Real Estate	Private	Form D – 506(b) (General Solicitation is not Allowed)	Tax Advantage		8/4/2022	8/11/2022	12/31/2023	44.35%	3.25%	\$163,950,253	\$100,000	Not Permitted
Madison Farms, DST	Madison Capital Group, LLC	Real Estate	Private	Form D – 506(c) (General Solicitation is Allowed)	Tax Advantage		9/26/2022	10/3/2022	10/14/2024	0.00%	4.25%	\$81,173,184	\$25,000	\$25,000
NewStar Exchange I, LLC	NewStar 6 Tranquil Gardens BTR, DST	Real Estate	Private	Form D – 506(c) (General Solicitation is Allowed)	Tax Advantage	✓	9/15/2023	10/25/2023	9/14/2023	46.36%	4.81%	\$19,104,805	\$100,000	\$100,000
NexPoint Hughes DST	NexPoint Real Estate Advisors IV, LP	Multifamily	Private	Form D – 506(c) (General Solicitation is Allowed)	Tax Advantage	✓	3/9/2022	3/17/2022	12/31/2023	43.75%	3.70%	\$115,262,632	\$100,000	Not Permitted
PG Jackson TN, DST	Peachtree Hotel Group II, LLC	Hospitality	Private	Form D – 506(c) (General Solicitation is Allowed)	Tax Advantage	✓	10/17/2023	10/30/2023	10/31/2024	0.00%	6.26%	\$26,845,615	\$100,000	Not Permitted
Resource Royalty XX, LLC	Resource Royalty, LLC	Energy - Royalties	Private	Form D – 506(c) (General Solicitation is Allowed)	Tax Advantage	✓	6/15/2023	6/23/2023	11/30/2023	0.00%	9.00%	\$9,109,411	\$100,000	\$100,000




BDCs (as of November 30, 2023)

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Hancock Park Corporate Income, Inc.	OFS Capital Management, LLC	Private Equity/Debt	Private with Public Filings	Semi-liquid		Form D – 506(b) (General Solicitation is not Allowed)	9/16/2016	8/25/2016	Continuous offering	\$200,000,000	\$10,000	\$10,000	



Bonds & Notes (as of November 30, 2023)

<u>Offering Name</u>	<u>Sponsor Name</u>	<u>Investment Sector</u>	<u>Registration Type</u>	<u>Concentration Guideline</u>	<u>Accredited Attestation Required</u>	<u>Registration Form</u>	<u>Open Date</u>	<u>Selling Agreement</u>	<u>Expiration Date</u>	<u>Maximum Offering</u>	<u>Minimum Initial Non Qualified</u>	<u>Minimum Initial Qualified</u>	<u>Advisory Share Class</u>
e2c ESA Bond 2, LLC (10% Bonds)	e2Companies LLC	Private Equity/Debt - Equity Only	Private	Illiquid		Form D – 506(b) (General Solicitation is not Allowed)	9/22/2022	10/18/2022	9/30/2024	\$250,000,000	\$25,000	\$25,000	
Lighthouse Life Capital, LLC	Lighthouse Life Capital, LLC	Public Equity/Debt	Public	Semi-liquid		1-A: Regulation A (Tier 2)	12/30/2020	1/13/2021	12/30/2023	\$50,000,000	\$10,000	\$10,000	
Red Oak Capital Fund VI, LLC - Series A and Series Ra Unsecured Bonds	Red Oak Capital Holdings, LLC	Real Estate Securities	Public	Illiquid		1-A: Regulation A (Tier 2)	1/24/2023	2/13/2023	12/31/2023	\$35,000,000	\$10,000	\$10,000	
Madison Capital Debt Opportunity, LLC	Madison Capital Group, LLC	Real Estate Securities	Private	Illiquid		Form D – 506(c) (General Solicitation is Allowed)	8/21/2023	8/31/2023	8/30/2024	\$75,000,000	\$50,000	\$50,000	
Madison Distressed Credit Fund, LLC	Madison Capital Group, LLC	Real Estate Real Estate Securities	Private	Illiquid		Form D – 506(b) (General Solicitation is not Allowed)	3/14/2023	3/20/2023	12/31/2023	\$100,000,000	\$50,000	\$50,000	



Closed-End Funds (as of November 30, 2023)

<u>Offering Name</u>	<u>Sponsor Name</u>	<u>Investment Sector</u>	<u>Registration Type</u>	<u>Concentration Guideline</u>	<u>Accredited Attestation Required</u>	<u>Registration Form</u>	<u>Open Date</u>	<u>Selling Agreement</u>	<u>Expiration Date</u>	<u>Maximum Offering</u>	<u>Minimum Initial Non Qualified</u>	<u>Minimum Initial Qualified</u>	<u>Advisory Share Class</u>
Alternative Credit Income Fund	BC Partners Advisors L.P.	Private Equity/Debt Public Equity/Debt	Public	Semi-liquid		N-2	4/20/2015	1/2/2020	Continuous offering	N/R	\$2,500	\$1,000	
Apollo Diversified Credit Fund (Formerly Griffin Institutional Access Credit Fund)	Apollo Global Management, Inc.	Private Equity/Debt Public Equity/Debt	Public	Semi-liquid		N-2	3/30/2017	5/30/2017	Continuous offering	N/R	\$2,500	\$1,000	L*
Apollo Diversified Real Estate Fund (Formerly Griffin Institutional Access Real Estate Fund)	Apollo Global Management, Inc.	Real Estate Securities	Public	Semi-liquid		N-2	6/30/2014	7/18/2014	Continuous offering	N/R	\$2,500	\$1,000	L*
BlueBay Destra International Event-Driven Credit Fund	Destra Capital Advisors LLC	Private Equity/Debt Public Equity/Debt	Public	Semi-liquid		N-2	5/9/2018	10/28/2021	Continuous offering	N/R	\$2,500	\$2,500	
Bluerock Total Income + Real Estate Fund	Bluerock Real Estate Holdings, LLC	Private Equity/Debt Real Estate Real Estate Securities	Public	Semi-liquid		N-2	10/22/2012	2/17/2014	Continuous offering	N/R	\$2,500	\$1,000	A, L, C, & I
Cantor Fitzgerald Infrastructure Fund	Cantor Fitzgerald, LP	Private Equity/Debt	Public	Semi-liquid		N-2	6/30/2022	7/20/2022	Continuous offering	N/R	\$2,500	\$1,000	A*
NexPoint Real Estate Strategies Fund	NexPoint Advisors, L.P.	Real Estate Real Estate Securities	Public	Semi-liquid		N-2	8/17/2016	1/24/2017	Continuous offering	\$1,128,500,000	\$500	\$50	L*
The Private Shares Fund (formerly SharesPost 100 Fund)	Liberty Street Advisors, Inc.	Private Equity/Debt - Equity Only	Public	Semi-liquid		N-2	3/25/2014	1/17/2018	Continuous offering	\$530,500,000	\$2,500	\$2,500	L*
Oxford Park Income Fund, Inc.	Oxford Park Management, LLC	Private Equity/Debt	Public	Semi-liquid		N-2	4/3/2023	4/14/2023	Continuous offering	\$500,000,000	\$2,500	\$2,500	

*Indicates load-waived brokerage share

Red Ink indicates suspended offering



Closed-End Funds (as of November 30, 2023)

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Priority Income Fund, Inc.	Priority Senior Secured Income Management, LLC	Private Equity/Debt	Public	Semi-liquid		N-2	2/17/2023	2/23/2023	Continuous offering	\$1,000,000,000	\$1,000	\$1,000	
StepStone Private Markets	StepStone Group Private Wealth LLC	Private Equity/Debt Real Estate	Public	Semi-liquid		N-2	9/21/2020	1/11/2021	Continuous offering	\$1,500,000,000	\$50,000	\$50,000	

Red Ink Indicates Suspended Selling Agreement

*Indicates load-waived brokerage share

Red Ink indicates suspended offering



Limited Liability Companies (as of November 30, 2023)

<u>Offering Name</u>	<u>Sponsor Name</u>	<u>Investment Sector</u>	<u>Registration Type</u>	<u>Concentration Guideline</u>	<u>Accredited Attestation Required</u>	<u>Registration Form</u>	<u>Open Date</u>	<u>Selling Agreement</u>	<u>Expiration Date</u>	<u>Maximum Offering</u>	<u>Minimum Initial Non Qualified</u>	<u>Minimum Initial Qualified</u>	<u>Advisory Share Class</u>
BDP Lackawanna Investors III, LLC	BDP Holdings, Inc.	Real Estate - Multi-Use	Private	Illiquid		Form D – 506(b) (General Solicitation is not Allowed)	10/4/2022	11/8/2023	1/31/2024	\$13,422,234	\$50,000	\$50,000	
Blue Gate Bonita Investors II, LLC	Madison Capital Group Holdings, LLC	Real Estate	Private	Illiquid		Form D – 506(b) (General Solicitation is not Allowed)	5/15/2023	6/13/2023	12/31/2023	\$7,000,000	\$50,000	\$50,000	
Bourne Seniors Housing VII, LLC	Bourne Financial Group, LLC	Real Estate	Private	Illiquid		Form D – 506(b) (General Solicitation is not Allowed)	8/1/2022	8/22/2022	12/31/2023	\$25,000,000	\$25,000	\$25,000	
Cameron Bradenton Investors II, LLC	Cameron Property Company, LLC	Real Estate	Private	Illiquid	✓	Form D – 506(c) (General Solicitation is Allowed)	9/15/2022	9/28/2022	Continuous offering	\$13,000,000	\$25,000	\$25,000	
Cameron Mt. Pleasant Investors, LLC	Cameron Mt. Pleasant Pref, LLC	Real Estate	Private	Illiquid	✓	Form D – 506(c) (General Solicitation is Allowed)	1/31/2023	11/28/2022	12/31/2023	\$12,000,000	\$50,000	\$50,000	
Cameron Net Lease Fund III, LLC	Cameron Property Company, LLC	Real Estate	Private	Illiquid		Form D – 506(b) (General Solicitation is not Allowed)	6/7/2023	5/31/2023	6/7/2024	\$75,000,000	\$50,000	\$50,000	
CNL Strategic Capital, LLC (Public)	CNL Strategic Capital Management, LLC and Levine Leichtman Strategic Capital, LLC	Private Equity/Debt	Public	Semi-liquid		S-1	11/1/2021	11/4/2021	11/1/2023	\$1,100,000,000	\$5,000	\$5,000	A*
Carter Multifamily Growth & Income Fund IV, LLC	Carter Multifamily Fund Management Company, LLC	Real Estate	Private	Illiquid		Form D – 506(b) (General Solicitation is not Allowed)	11/15/2022	8/24/2023	11/15/2023	\$200,000,000	\$50,000	\$50,000	
e2Companies LLC	e2Companies LLC	Private Equity/Debt	Private	Illiquid		Form D – 506(b) (General Solicitation is not Allowed)	3/31/2022	5/31/2022	3/31/2024	\$38,500,000	\$25,000	\$25,000	

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Limited Liability Companies (as of November 30, 2023)

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Empire Village at Bronco Trail, LLC	EMC Management, LLC	Real Estate	Private	Illiquid		Form D – 506(b) (General Solicitation is not Allowed)	1/20/2022	2/25/2022	6/30/2024	\$40,000,000	\$25,000	\$25,000	
Empire Village at Centerpoint Station, LLC	ENFR Management, LLC	Real Estate	Private	Illiquid		Form D – 506(b) (General Solicitation is not Allowed)	6/10/2023	6/15/2023	6/10/2025	\$40,000,000	\$25,000	\$25,000	
Empire Village at Cottonwood Creek and Golden Triangle, LLC	ENFR Management, LLC	Real Estate	Private	Illiquid		Form D – 506(b) (General Solicitation is not Allowed)	2/25/2022	4/20/2022	6/30/2024	\$80,000,000	\$25,000	\$25,000	
Empire Village at Hutto Station, LLC	ENFR Management, LLC	Real Estate	Private	Illiquid		Form D – 506(b) (General Solicitation is not Allowed)	6/1/2022	6/13/2022	6/1/2024	\$40,000,000	\$25,000	\$25,000	
Empire Village at Mayfair, LLC	ENFR Management, LLC	Real Estate	Private	Illiquid		Form D – 506(b) (General Solicitation is not Allowed)	2/15/2023	2/22/2023	2/15/2025	\$35,000,000	\$25,000	\$25,000	
Empire Village at Pleasant River and San Tan, LLC	EMC Management, LLC	Real Estate	Private	Illiquid		Form D – 506(b) (General Solicitation is not Allowed)	10/25/2021	11/9/2021	10/25/2023	\$50,000,000	\$25,000	\$25,000	
Empire Village at Skyline Ranch, LLC	EMC Management, LLC	Real Estate	Private	Illiquid		Form D – 506(b) (General Solicitation is not Allowed)	4/15/2021	5/7/2021	12/31/2023	\$30,000,000	\$25,000	\$25,000	
Empire Village at Sonoran Vista and Borgata, LLC	EMC Management, LLC	Real Estate	Private	Illiquid		Form D – 506(b) (General Solicitation is not Allowed)	5/5/2022	5/17/2022	5/5/2024	\$70,000,000	\$25,000	\$25,000	
HPI Real Estate Fund XII LLC	Hamilton Point Investments, LLC	Real Estate	Private	Illiquid		Form D – 506(b) (General Solicitation is not Allowed)	2/1/2023	4/4/2023	3/31/2024	\$150,000,000	\$50,000	\$50,000	

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Inland IVP MHC Fund III, LLC	Inland Venture Partners, LLC	Real Estate - Manufactured Housing	Private	Illiquid		Form D – 506(b) (General Solicitation is not Allowed)	7/31/2023	10/19/2023	Continuous offering	\$150,000,000	\$100,000	\$100,000	
Madison Bees Ferry Road Pref, LLC	Madison Capital Group Holdings, LLC	Real Estate	Private	Illiquid		Form D – 506(b) (General Solicitation is not Allowed)	5/5/2023	6/20/2023	5/31/2024	\$23,437,500	\$50,000	\$50,000	
Madison Capital Multifamily Opportunity Fund, LLC	Madison Capital Group Holdings, LLC	Real Estate	Private	Illiquid		Form D – 506(b) (General Solicitation is not Allowed)	2/1/2022	1/4/2023	12/31/2023	\$50,000,000	\$50,000	\$50,000	
MCG Pref Opportunity Fund II, LLC	Madison Capital Group Holdings, LLC	Real Estate	Private	Semi-Illiquid		Form D – 506(b) (General Solicitation is not Allowed)	11/1/2022	11/16/2022	Continuous offering	\$100,000,000	\$50,000	\$50,000	
MCG South End Investors II, LLC	Madison Capital Group Holdings, LLC	Real Estate	Private	Illiquid		Form D – 506(b) (General Solicitation is not Allowed)	6/12/2023	6/29/2023	12/31/2023	\$29,705,000	\$50,000	\$50,000	
MCG Summerdance Phase I Investors, LLC	Madison Capital Group, LLC	Real Estate	Private	Illiquid		Form D – 506(b) (General Solicitation is not Allowed)	5/1/2023	12/13/2022	12/31/2023	\$31,000,000	\$50,000	\$50,000	
Mill Green Opportunity Fund IX, LLC	Mill Green Capital, LLC	Real Estate	Private	Illiquid		Form D – 506(b) (General Solicitation is not Allowed)	4/15/2023	8/24/2023	9/30/2024	\$150,000,000	\$100,000	\$100,000	
Parsonex Special Situations Fund, LLC	Parsonex Properties, LLC	Real Estate	Private	Illiquid		Form D – 506(b) (General Solicitation is not Allowed)	10/1/2020	11/23/2020	11/1/2023	\$25,000,000	\$50,000	\$50,000	
Red Oak Capital Properties, LLC (Series A, B, C, & D)	Red Oak Capital Holdings, LLC	Real Estate Real Estate Securities	Private	Illiquid		Form D – 506(b) (General Solicitation is not Allowed)	4/29/2022	7/28/2022	12/31/2023	\$17,500,000	\$5,000	\$5,000	

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Rural & University, LLC	Aspirant Partners, LLC	Real Estate	Private	Illiquid		Form D – 506(b) (General Solicitation is not Allowed)	3/17/2022	4/21/2022	6/30/2025	\$50,000,000	\$50,000	\$50,000	
Seedbrite Venture Partners I LLC	Seedbrite Ventures LLC	Private Equity/Debt - Equity Only	Private	Illiquid	✓	Form D – 506(c) (General Solicitation is Allowed)	1/3/2023	2/13/2023	12/31/2023	\$10,000,000	\$50,000	N/R	
Southwestern Farm Capital Fund, LLC	Southwestern Asset Management (Manager)	Real Estate	Private	Illiquid		Form D – 506(b) (General Solicitation is not Allowed)	12/8/2020	1/20/2022	12/31/2023	\$50,000,000	\$50,000	\$50,000	
USED C Mineral Exchange Program I LLC	U.S. Energy Development Corporation	Energy - Gas / Oil / Royalties	Private	Tax Advantage		Form D – 506(b) (General Solicitation is not Allowed)	7/11/2022	10/13/2022	12/31/2023	\$100,000,000	\$100,000	N/R	

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Fee Simple Programs (as of November 30, 2023)

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Payne Road Investments LLC	Oppenheimer Development Properties, LLC	Conservation Contribution	Private	Tax Advantaged		Form D – 506(b) (General Solicitation is not Allowed)	9/1/2023	11/2/2023	12/31/2023	\$14,000,000	\$50,000	N/A	



Limited Partnerships (as of November 30, 2023)

<u>Offering Name</u>	<u>Sponsor Name</u>	<u>Investment Sector</u>	<u>Registration Type</u>	<u>Concentration Guideline</u>	<u>Accredited Attestation Required</u>	<u>Registration Form</u>	<u>Open Date</u>	<u>Selling Agreement</u>	<u>Expiration Date</u>	<u>Maximum Offering</u>	<u>Minimum Initial Non Qualified</u>	<u>Minimum Initial Qualified</u>	<u>Advisory Share Class</u>
APX Drilling Partners 2023 LP	APX Energy, LLC	Energy - Gas / Oil	Private	Tax Advantage	✓	Form D – 506(c) (General Solicitation is Allowed)	8/14/2023	9/8/2023	12/31/2023	\$50,000,000	\$25,000	\$25,000	
BSR Lone Star Drilling Fund I LP	Barrow Shaver Resources Company LLC	Energy - Gas / Oil	Private	Tax Advantage		Form D – 506(b) (General Solicitation is not Allowed)	7/1/2022	12/22/2022	12/31/2023	\$85,000,000	\$50,000	N/R	
Global Co-Investment Opportunity Fund II, LP	Forum Partners	Real Estate	Private	Illiquid		Form D – 506(b) (General Solicitation is not Allowed)	3/28/2023	9/14/2023	3/28/2025	\$25,000,000	\$25,000	\$25,000	
MDS 2023 - Shale Development, LP	MDS Energy Development, LLC	Energy - Gas	Private	Tax Advantage	✓	Form D – 506(c) (General Solicitation is Allowed)	6/2/2023	6/9/2023	12/31/2023	\$300,000,000	\$100,000	Not Permitted	
Overmoon Vacation Rentals Growth & Income Fund I, LP	Sun Oak Capital LLC	Real Estate	Private	Illiquid		Form D – 506(b) (General Solicitation is not Allowed)	12/6/2022	12/20/2022	12/31/2023	\$100,000,000	\$50,000	\$50,000	
Palladius Real Estate Fund 2022, LP	Palladius Capital Management, LLC	Real Estate	Private	Illiquid		Form D – 506(b) (General Solicitation is not Allowed)	12/31/2022	8/10/2023	10/8/2023	\$150,000,000	\$50,000	\$50,000	
Peachtree Strategic Opportunity Fund-A, LP	Peachtree Hotel Group, LLC	Real Estate Securities	Private	Illiquid		Form D – 506(b) (General Solicitation is not Allowed)	1/27/2022	2/10/2022	1/27/2024	\$500,000,000	\$50,000	\$50,000	
Sealy Industrial Partners IV, LP	Sealy & Company, LLC	Real Estate	Private	Illiquid		Form D – 506(b) (General Solicitation is not Allowed)	4/22/2022	5/26/2022	4/22/2025	\$750,000,000	\$50,000	\$50,000	
Tasty Brands II, LP	Triton Pacific Capital Partners, LLC	Private Equity/Debt	Private	Illiquid		Form D – 506(b) (General Solicitation is not Allowed)	11/1/2020	2/5/2021	12/31/2023	\$200,000,000	\$100,000	\$100,000	



Limited Partnerships (as of November 30, 2023)

<u>Offering Name</u>	<u>Sponsor Name</u>	<u>Investment Sector</u>	<u>Registration Type</u>	<u>Concentration Guideline</u>	<u>Accredited Attestation Required</u>	<u>Registration Form</u>	<u>Open Date</u>	<u>Selling Agreement</u>	<u>Expiration Date</u>	<u>Maximum Offering</u>	<u>Minimum Initial Non Qualified</u>	<u>Minimum Initial Qualified</u>	<u>Advisory Share Class</u>
Texas Standard Energy Fund LP	Texas Standard Energy LLC	Energy - Gas / Oil	Private	Tax Advantage		Form D – 506(b) (General Solicitation is not Allowed)	12/27/2022	3/6/2023	12/31/2023	\$100,000,000	\$50,000		N/R
USED 2023 Drilling Fund LP	U.S. Energy Development Corporation	Energy - Gas / Oil	Private	Tax Advantage		Form D – 506(b) (General Solicitation is not Allowed)	3/17/2023	4/27/2023	12/31/2023	\$200,000,000	\$100,000	\$100,000	
Waveland Resource Partners VII, LP	Waveland Energy Partners, LLC	Energy - Gas / Oil	Private	Tax Advantage		Form D – 506(b) (General Solicitation is not Allowed)	9/1/2023	10/12/2023	12/31/2024	\$100,000,000	\$25,000	\$25,000	



Preferred Securities (as of November 30, 2023)

<u>Offering Name</u>	<u>Sponsor Name</u>	<u>Investment Sector</u>	<u>Registration Type</u>	<u>Concentration Guideline</u>	<u>Accredited Attestation Required</u>	<u>Registration Form</u>	<u>Open Date</u>	<u>Selling Agreement</u>	<u>Expiration Date</u>	<u>Maximum Offering</u>	<u>Minimum Initial Non Qualified</u>	<u>Minimum Initial Qualified</u>	<u>Advisory Share Class</u>
Bluerock Industrial Growth REIT, Inc. (Preferred Stock)	Bluerock Real Estate, LLC	Real Estate	Private	Illiquid		Form D – 506(b) (General Solicitation is not Allowed)	11/22/2021	12/21/2021	Continuous offering	\$200,000,000	\$10,000	\$10,000	
Cottonwood Communities, Inc. - 8% Convertible Preferred Stock	CC Advisors III, LLC	Real Estate Securities	Private w/ Public Filings	Semi-liquid		Form D – 506(b) (General Solicitation is not Allowed)	9/19/2013	11/20/2023	8/31/2024	\$150,000,000	\$25,000	\$25,000	
Cottonwood Communities, Inc. - Preferred Stock Offerings	Cottonwood Communities Advisors, LLC	Real Estate Securities	Private w/ Public Filings	Semi-liquid		Form D – 506(b) (General Solicitation is not Allowed)	12/1/2022	8/3/2023	12/31/2023	\$100,000,000	\$25,000	\$25,000	
Creative Media & Community Trust Corporation - Series A1 Preferred Stock	CIM Group, L.P.	Real Estate	Public	Semi - Liquidity		S-3	7/1/2016	7/29/2016	Continuous offering	\$561,617,379	\$5,000	\$5,000	
FG Communities, Inc.	FG Communities, Inc.	Real Estate	Private	Illiquid		Form D – 506(b) (General Solicitation is not Allowed)	4/12/2023	5/4/2023	Continuous offering	\$100,000,000	\$50,000	\$50,000	
Gladstone Commercial Corporation	Gladstone Commercial Corporation	Real Estate	Public	Semi - Liquidity		S-3	2/20/2020	3/27/2020	6/1/2025	\$619,187,052	\$5,000	\$5,000	
MacKenzie Realty Capital, Inc.	MacKenzie Capital Management, LP	Real Estate	Public	Semi - Liquidity		1-A: Regulation A (Tier 2)	11/2/2021	11/17/2021	Continuous offering	\$75,000,000	\$5,000	\$5,000	
MCG Waterstar Investors Pref III, LLC	Madison Capital Group Holdings LLC	Real Estate	Private	Illiquid		Form D – 506(b) (General Solicitation is not Allowed)	6/14/2023	7/31/2024	7/14/2023	\$5,000,000	\$50,000	N/A	
Prospect Capital Corporation - A Shares and M Shares Preferred Stock Offering	Prospect Capital Corporation	Private Equity/Debt	Public	Semi-liquid		N-2	8/3/2020	9/17/2020	Continuous offering	\$1,800,000,000	\$5,000	\$5,000	

Red Oak Capital Fund VI, LLC - Series A Preferred	Red Oak Capital Holdings, LLC	Real Estate Securities	Public	Illiquid	1-A: Regulation A (Tier 2)	1/27/2023	2/13/2023	12/31/2025	\$40,000,000	\$30,000	\$30,000
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REITs (as of November 30, 2023)

<u>Offering Name</u>	<u>Sponsor Name</u>	<u>Investment Sector</u>	<u>Registration Type</u>	<u>Concentration Guideline</u>	<u>Accredited Attestation Required</u>	<u>Registration Form</u>	<u>Open Date</u>	<u>Selling Agreement</u>	<u>Expiration Date</u>	<u>Maximum Offering</u>	<u>Minimum Initial Non Qualified</u>	<u>Minimum Initial Qualified</u>	<u>Advisory Share Class</u>
ARCTRUST III, Inc.	ARCTRUST Properties, Inc.	Real Estate Real Estate Securities	Private	Illiquid		Form D – 506(b) (General Solicitation is not Allowed)	9/30/2018	6/9/2023	Continuous offering	\$180,000,000	\$50,000	\$50,000	
Bluerock Industrial Growth REIT, Inc. (Common Stock)	Bluerock Real Estate, LLC	Real Estate	Private	Illiquid		Form D – 506(b) (General Solicitation is not Allowed)	11/22/2021	12/21/2021	Continuous offering	\$210,000,000	\$10,000	\$10,000	
ExchangeRight Essential Income REIT (Formerly ExchangeRight Income Fund and ExchangeRight Essential Income Strategy)	ExchangeRight Real Estate, LLC	Real Estate	Private	Semi-liquid		Form D – 506(b) (General Solicitation is not Allowed)	4/17/2019	1/21/2021	Continuous offering	\$2,301,967,039	\$25,000	\$25,000	A*
NexPoint Homes Trust, Inc.	NexPoint Real Estate Advisors XI, L.P.	Real Estate	Private	Semi-liquid		Form D – 506(b) (General Solicitation is not Allowed)	6/9/2022	6/22/2022	6/9/2027	\$2,500,000,000	\$25,000	\$25,000	
PREP Net Lease Income Fund III	PREP Property Group LLC	Real Estate	Private	Illiquid		Form D – 506(b) (General Solicitation is not Allowed)	4/15/2022	5/16/2022	Continuous offering	\$200,000,000	\$50,000	\$50,000	
Strategic Storage Growth Trust III, Inc.	SmartStop REIT Advisors, LLC	Real Estate	Private	Illiquid		Form D – 506(b) (General Solicitation is not Allowed)	5/18/2022	6/3/2022	5/18/2024	\$275,000,000	\$25,000	\$25,000	
Strategic Storage Trust VI, Inc.	SmartStop REIT Advisors, LLC	Real Estate	Public	Semi-liquid		S-11	3/17/2022	4/1/2022	3/17/2024	\$1,095,000,000	\$5,000	\$1,500	
Cantor Silverstein Opportunity Zone Trust II, Inc.	Cantor Fitzgerald Investors, LLC and Silverstein Properties, LLC	Real Estate	Private	Illiquid		Form D – 506(b) (General Solicitation is not Allowed)	1/11/2022	1/14/2022	1/10/2024	\$750,000,000	\$100,000	\$100,000	
Ares Industrial Real Estate Income Trust Inc. (Formerly Black Creek Industrial REIT IV Inc.)	Ares Commercial Real Estate Management LLC	Real Estate	Public	Semi-liquid		S-11	8/4/2021	8/6/2021	Continuous offering	\$5,000,000,000	\$2,000	\$2,000	D & I

*Indicates load-waived brokerage share



REITs (as of November 30, 2023)

<u>Offering Name</u>	<u>Sponsor Name</u>	<u>Investment Sector</u>	<u>Registration Type</u>	<u>Concentration Guideline</u>	<u>Accredited Attestation Required</u>	<u>Registration Form</u>	<u>Open Date</u>	<u>Selling Agreement</u>	<u>Expiration Date</u>	<u>Maximum Offering</u>	<u>Minimum Initial Non Qualified</u>	<u>Minimum Initial Qualified</u>	<u>Advisory Share Class</u>
Cantor Fitzgerald Income Trust, Inc. (Formerly Rodin Global Property Trust, Inc.)	Cantor Fitzgerald Investors, LLC	Real Estate	Public	Semi-liquid		S-11	8/10/2020	8/20/2020	Continuous offering	\$1,250,000,000	\$2,500	\$2,500	T
Cottonwood Communities, Inc.	Cottonwood Communities Advisors, LLC	Real Estate	Public	Semi-liquid		S-11	11/4/2021	12/1/2021	Continuous offering	\$1,000,000,000	\$2,500	\$2,500	
FS Credit Real Estate Income Trust, Inc.	FS Investments	Real Estate Securities	Public	Semi-liquid		S-11	9/11/2017	5/21/2019	11/4/2024	\$2,750,000,000	\$5,000	\$5,000	D & I
Nuveen Global Cities REIT, Inc.	Nuveen, LLC	Real Estate	Public	Semi-liquid		S-11	1/31/2018	2/27/2020	Continuous offering	\$5,000,000,000	\$2,500	\$2,500	
Strategic Wireless Infrastructure Fund II, Inc.	StratCap Investment Management, LLC	Infrastructure Real Estate	Private	Semi-liquid			7/13/2021	8/19/2021	Continuous offering	\$500,000,000	\$2,500	\$2,500	

* Indicated load-waived brokerage share

Form D – 506(b)
(General Solicitation is not Allowed)



Opportunity Zones (as of November 30, 2023)

<u>Offering Name</u>	<u>Sponsor Name</u>	<u>Investment Sector</u>	<u>Registration Type</u>	<u>Concentration Guideline</u>	<u>Accredited Attestation Required</u>	<u>Registration Form</u>	<u>Open Date</u>	<u>Selling Agreement</u>	<u>Expiration Date</u>	<u>Maximum Offering</u>	<u>Minimum Initial Non Qualified</u>	<u>Minimum Initial Qualified</u>	<u>Advisory Share Class</u>
Arden OpZone CO-Investment I, LLC	The Arden Group, Inc.	Real Estate	Private	Tax Advantage	✓	Form D – 506(c) (General Solicitation is Allowed)	6/23/2023	8/3/2023	7/31/2024	\$150,000,000	\$100,000	\$100,000	
BSR Lone Star Opportunity Zone Fund I LP	Barrow Shaver Resources Company LLC	Energy - Gas / Oil	Private	Tax Advantage		Form D – 506(b) (General Solicitation is not Allowed)	7/1/2022	2/1/2023	12/31/2023	\$85,000,000	\$50,000	N/R	
CEDARst GP Opportunity Zone Fund 1, LP	CEDARst Companies	Real Estate	Private	Tax Advantage		Form D – 506(b) (General Solicitation is not Allowed)	9/21/2023	11/8/2023	9/21/2024	\$25,000,000	\$100,000	\$100,000	
CSRA Opportunity Zone Fund VII, LLC	Capital Square Realty Advisors, LLC	Real Estate	Private	Tax Advantage	✓	Form D – 506(c) (General Solicitation is Allowed)	3/10/2022	3/25/2022	10/31/2023	\$63,261,000	\$100,000	\$100,000	
CSRA Opportunity Zone Fund VIII, LLC	Capital Square Realty Advisors, LLC	Real Estate	Private	Tax Advantage	✓	Form D – 506(c) (General Solicitation is Allowed)	1/6/2023	9/14/2023	12/31/2023	\$46,684,000	\$100,000	\$100,000	
Griffin Capital Qualified Opportunity Zone Fund III, L.P.	Griffin Capital Company, LLC	Real Estate	Private	Tax Advantage		Form D – 506(b) (General Solicitation is not Allowed)	11/15/2021	8/24/2023	12/31/2023	\$500,000,000	\$150,000	\$150,000	
Inland Student Housing Qualified Opportunity Fund, LLC	Inland Private Capital Corporation	Real Estate	Private	Tax Advantage		Form D – 506(b) (General Solicitation is not Allowed)	5/10/2022	9/21/2023	5/9/2024	\$250,000,000	\$100,000	Not Permitted	
Shopoff DLV QOZ Fund, LLC	Shopoff Realty Investments, L.P.	Real Estate	Private	Tax Advantage	✓	Form D – 506(c) (General Solicitation is Allowed)	10/26/2020	4/3/2023	9/30/2023	\$197,122,315	\$100,000	\$100,000	
TRD Tampa Opportunity LLC	Two Roads Development LLC	Real Estate	Private	Tax Advantage	✓	Form D – 506(c) (General Solicitation is Allowed)	2/1/2022	7/20/2023	Continuous offering	\$50,000,000	\$100,000	N/R	
Trilogy Multifamily Opportunity Zone Fund, L.P.	Trilogy Real Estate Group, LLC	Real Estate	Private	Tax Advantage	✓	Form D – 506(c) (General Solicitation is Allowed)	6/15/2022	9/22/2022	6/30/2024	\$100,000,000	\$100,000	\$100,000	



Opportunity Zones (as of November 30, 2023)

<u>Offering Name</u>	<u>Sponsor Name</u>	<u>Investment Sector</u>	<u>Registration Type</u>	<u>Concentration Guideline</u>	<u>Accredited Attestation Required</u>	<u>Registration Form</u>	<u>Open Date</u>	<u>Selling Agreement</u>	<u>Expiration Date</u>	<u>Maximum Offering</u>	<u>Minimum Initial Non Qualified</u>	<u>Minimum Initial Qualified</u>	<u>Advisory Share Class</u>
Urban Catalyst Opportunity Zone Fund II LLC	Urban Catalyst Sponsor II LLC	Real Estate	Private	Tax Advantage	✓	Form D – 506(c) (General Solicitation is Allowed)	1/28/2021	6/17/2021	12/31/2023	\$200,000,000	\$250,000	Not Permitted	
USED Opportunity Zone Fund II LP	U.S. Energy Development Corporation	Energy - Gas / Oil / Royalties	Private	Tax Advantage		Form D – 506(b) (General Solicitation is not Allowed)	9/23/2022	10/14/2022	12/31/2023	\$150,000,000	\$100,000	N/R	

Red Ink Indicates Suspended Selling Agreement