

Advisory Alternative Investment Order and Disclosure Form



- An Alternative Investment is an investment that is not traded on an exchange and is usually more complex than exchange traded products like stocks, bonds and mutual funds.
- Alternative Investments include products such as Limited Partnerships, non-traded REITs, Business Development Corporations, closed-end funds or interval funds.
- Registered offerings are sold by prospectus. Unregistered offerings (Regulation D, or private placements) are sold by Private Placement Memorandum or PPM. Please ensure your financial professional provides you the most up-to-date prospectus or PPM for the offering. It is also important that you read the prospectus or PPM because they provide important information and disclosures to you about the investment which may influence your decision to invest.
- Alternative investments tend to have more risk associated with them, and you may lose your entire investment.
- Alternative investments are only available to sophisticated investors, and Coastal reserves the right to reject your order at its sole discretion.
- Securities offered by Coastal Equities, Inc.

CLIENT/ACCOUNT INFORMATION

RR Name _____ RR No. _____

Customer Names(s) _____ **DISCRETION NOT EXERCISED**

Qualified Account: Yes or No If purchasing this product in a qualified account, I certify that I have other sufficient qualified funds available to meet my required minimum distributions pursuant to IRS requirements until this product matures.

Solicited Trade: Yes or No

CLIENT ORDER INFORMATION

Custodian: First Clearing Direct MainStar CNB Kingdom Trust Other

Proposed Principal Amount: \$ _____ Name of Product: _____

Existing Alt Positions \$ _____ Sponsor/Issuer: _____

Liquid Net Worth: _____ Date of PPM: _____ Date PPM Sent: _____
(excluding home and auto)

Total Net Worth: _____ PPM Supplement(s) Date: _____

Total Concentration*: _____ ← If < \$5MM
_____ If ≥ \$5MM → _____

*Concentration

If Total Net Worth < \$5MM: Concentration = Proposed & Existing Alts / Total Liquid Investments + Proposed & Existing Alts

If Total Net Worth ≥ \$5MM: Concentration = Proposed & Existing Alts / Total Net Worth + Proposed & Existing

BY SIGNING BELOW, I ACKNOWLEDGE THE FOLLOWING:

- I/We understand that the investment purchased is illiquid and is suitable only as a long-term investment. I/We have no liquidity needs for the funds invested in this security.
- I/We have read and carefully reviewed the prospectus or Private Placement Memorandum for the product(s) being purchased.
- I/We understand that the Alternative Investment purchased is not publicly traded and there is no market.
- I/We may not be able to sell the investment to another party without taking a significant reduction in price and we may not find a buyer at all. If there is a redemption policy offered by the sponsor, it may be limited and/or at the sole discretion of the sponsor. Please refer to the redemption policy outlined in the prospectus or Private Placement Memorandum.
- I/We understand that this is a speculative investment and may lose some or all of this investment. I/we have sufficient means tolerate the risks and potential loss of the amount invested.
- I/We understand that dividends/distributions paid to me/us may vary based upon many factors, including but not limited to, economic risks, regulatory changes, performance, personnel changes and changes in the industry. Distributions may stop entirely in the discretion of the sponsor or issuer. Portions of, or the entirety of, distributions may be a return of capital.
- I/We meet the suitability standards of the issuer and my state of primary residence.
- The features and risks of the product, my/our financial status, tax status, risk tolerances, and investment objectives were discussed by my/our representative.
- I/We believe that this investment meets my/our financial goals.

Account Owner Signature _____ Printed Name _____ Date _____

Joint Account Owner Signature _____ Printed Name _____ Date _____

Financial Professional Signature _____ Printed Name _____ Date _____

COASTAL INTERNAL USE ONLY

Registered Principal Signature _____ Printed Name _____ Date _____

Reg BI Delivery State Registration AI Insight Statement of Financial Condition Suitability Received