Statement of Financial Condition

CoastalOne

- This document is intended to assist in determining (1) if a recommendation is suitable given an investors circumstance. (2) If an individual meets the definition of an accredited investor under the securities and Exchange Act of 33 Rule 506 Regulation D (Reg D) for the purpose of investing in a Private Placement (PP) or the suitability standards for certain non-traded REITS (REIT) or Business Development Companies (BDC). It is not intended to function as an individual balance sheet.
- Under Reg D, the investor must have a net worth greater than $1 million, either individually or jointly with the investors spouse. Investors should include all of their assets and all of their liabilities in calculating net worth. Further, the primary residence is not counted as an asset in the net worth calculation. In general, debt secured by the primary residence (such as a mortgage or home equity line of credit) is not counted as a liability in that net worth calculation if the fair market value (FMV) of the residence is greater than the amount secured by it.

ACCOUNT REGISTRATION

RR Name

RR No.

Customer Names(s)

LIQUID NON-QUALIFIED ASSETS	APPROX. VALUE
Cash/Money Markets/CDs	
Brokerage (non-managed)	
Managed Accounts	
Mutual Funds (Direct)	
Annuities (less surrender charges)	
Cash Value Life insurance	
Other (business Assets/collectibles)	
TOTAL LIQUID ASSETS	$ 0.00

ILLIQUID NON-QUALIFIED ASSETS	VALUE / EQUITY
Primary Residence	
Investment Real Estate	
Private Business	
TOTAL ILLIQUID ASSETS (equity)	$ 0.00

LIQUID QUALIFIED ASSETS	APPROX. VALUE
Cash/Money Markets/CDs	
Retirement Plans (401K, 403B, etc,.)	
Brokerage (non-managed)	
Managed Accounts	
Mutual Funds (Direct)	
Annuities	
TOTAL LIQUID QUALIFIED ASSETS	$ 0.00

ILLIQUID QUALIFIED ASSETS	Purchase Amount / Value
TOTAL ILLIQUID QUALIFIED ASSETS	$ 0.00
TOTAL ASSETS	$ 0.00

LIABILITES	AMOUNT
Mortgage (Primary Residence)	
Mortgages (Secondary or Investment)	
Home Equity Loans	
Credit Cards	
Other Liabilities	
TOTAL LIABILITIES	$ 0.00

NET WORTH	AMOUNT
Total Assets (less primary residence)	$ 0.00
Total Liabilities	$ 0.00
Total Net Worth (Assets less PR – Liabilities)	$ 0.00
Total Illiquid Securities	$ 0.00
Total Net Worth	$ 0.00
Total Potential Liquidity	$ 0.00

INCOME SUMMARY	AMOUNT
Salary/Commissions	
Investment Income (Interest & Dividends)	
Pension	
Social Security	
Net Rental Income	
Other	
TOTAL ANNUAL INCOME	$ 0.00

Notes:

Additional Notes:

BY SIGNING BELOW, I ACKNOWLEDGE THE FOLLOWING:

- I attest that the data above and attached are accurate and complete based on information I have provided to my financial professional.
- I agree to report material changes to my financial and personal circumstances to my financial professional in a timely fashion.
- I understand that I may be required to attest and recertify this information from time to time in order for my financial professional to provide future recommendations that are suitable and in my best interest.
- I understand that I may be requested to supply supporting documentation to verify the information presented on this statement of financial condition.
- I understand that this information is to be used and relied upon by my financial professional to make recommendations in my best interest.

Account Owner Signature	Printed Name	Date
Joint Account Owner Signature	Printed Name	Date
Financial Professional Signature	Printed Name	Date
Registered Principal Signature	Printed Name	Date

CLEAR FORM